AN EFFICIENT LIBRARY FOR PARALLEL

RAY TRACING AND ANIMATION

by

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ABSTRACT

A parallel ray tracing library is presented for rendering high detail images of three dimensional geometry and computational fields. The library has been developed for use on distributed memory and shared memory parallel computers and can also run on sequential computers. Parallelism is achieved through the use of message passing and threads. It is shown that the library achieves almost linear scalability when run on large distributed memory parallel computers as well as large shared memory parallel computers.

Several applications of parallel rendering are explored including rendering of CAD models, animation, magnetic resonance imaging, and visualization of volumetric flow fields. Ray tracing offers many advantages over polygon rendering techniques, in its innate parallelism, and quality of output.

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I. INTRODUCTION

The need for high quality rendering of three dimensional geometry and vector fields has grown tremendously in recent years. As a result, much work has been done in the design of algorithms and systems to render photorealistic images of these objects on computers. Many of the algorithms used to generate high quality three dimensional images require a lot of processing time to execute. To date, many researchers have created high efficiency graphics algorithms on sequential computers. Through this research, modern graphics algorithms now achieve peak efficiency and performance on sequential computers in use today. Although many algorithms currently in use are already highly tuned, it is possible to further reduce execution time through the use of many processors in parallel. By parallelizing the rendering process, execution time can be reduced by more than two orders of magnitude, given appropriate computational resources.

In this thesis a parallel ray tracing library is presented for use on a wide range of parallel computer systems. Ray tracing is one of many techniques for rendering three dimensional images. Ray tracing is a rendering model which is based on the physics of light and how it interacts with different materials. Ray tracing gets its name from the use of simulated rays of light in producing images. In ray tracing, visible surface determination, reflection, refraction, and shading are done using physically based approximations of the way real light behaves. Ray tracing is capable of rendering complex mathematical surfaces, multi-dimensional vector fields, and discrete polygonal meshes. Classical sequential ray tracing algorithms can be adapted for parallel execution environments, and are well suited for the production of photorealistic images. Other rendering techniques which are computationally cheaper are typically limited to the use of polygonal meshes for modeling objects, and can be much more difficult to parallelize efficiently. Ray tracing is well suited to parallel computation.

One of the most difficult parts of writing a library for use on parallel computers are the choices of what operating systems interfaces or extensions to use when writing the library. Inclusion of a platform-specific extension or feature often limits the portability of the library to other platforms, and makes maintenance of the library difficult. In order for the library to automatically take advantage of parallelism, two key programming paradigms are exploited; inter-processor message passing, and multithreading. Message passing is used on distributed memory parallel computers for process synchronization, communication, and disk I/O. Message passing has been a very successful technique in implementing parallel applications on a wide range of computing platforms which range from clusters of workstations all the way to massively parallel distributed memory supercomputers. In order to achieve portability to a wide range of computers, the ray tracing library encapsulates system specific message passing routines within its own internal message handling routines. This allows the library to be ported to new message passing systems and architectures by modifying a small group of message passing abstraction routines.

Threads are a natural and efficient way to schedule work on multiprocessor systems, currently they may only be used on shared memory multiprocessor computers. Recent advances in distributed shared memory research may make threads applicable to distributed memory machines in the future. As with the message passing routines, the ray tracing library encapsulates system specific thread routines within internal abstraction routines to make porting an easier task.

During the design and implementation of the ray tracing library it was necessary to limit the scope of the work to something which could be accomplished in a reasonable amount of time by a single researcher. To this end, the work presented in this thesis is focused on real-world applicability, performance, and portability. Since ray tracing is a subject which has been researched by many others before, a great many algorithms already exist for the efficient implementation of ray tracing on sequential computers. Most ray tracing algorithms designed for use on sequential computers may be used as-is in a parallel environment, provided that care is taken in their implementation. During the course of the design of the ray tracing library, standard algorithms were used when possible. In cases where this was not possible, standard sequential algorithms were adapted for use in a parallel execution environment. Fortunately, ray tracing affords a great degree of parallelism and can be implemented in parallel with relatively few restrictions or complications.

II. CLASSICAL RAY TRACING

Ray tracing as a technique for rendering three dimensional images was first introduced by Appel in 1968 [1]. Appel introduced ray tracing of objects, including surface shading and shadows. Goldstein and Nagel also developed ray tracing, but their original work dealt with simulation of trajectories of ballistic projectiles and nuclear particles. Goldstein and Nagel later introduced the use of binary set operations to implement constructive solid geometry in ray tracing [14]. Research by Whitted [54], and Kay [27] extended the ray tracing algorithm to handle specular reflection and refraction. Among rendering techniques, ray tracing is best known for its elegant solutions to shadow determination, specular reflection, refraction, and volume rendering.

In any of the graphics rendering techniques used today, there are two main tasks involved in generating an image; visible surface determination, and shading. Visible surface determination is the process by which a renderer determines what objects or surfaces can be seen from a particular viewpoint. Shading is the process that assigns a color to a point on or in an object. The color assignment is based on lighting, shadows, transparency, reflectivity, refractive index, and surface texture. Classic ray tracing algorithms provide solutions for all of the local illumination properties mentioned above, and can be further extended to handle global diffuse illumination.

A. <u>BRIEF OVERVIEW OF THE RAY TRACING ALGORITHM</u>

Ray tracing attempts to model a subset of the real physical processes involved in optics and lighting. The standard ray tracing algorithm relies on numerical approximations of physical behavior in order to achieve realistic shading with finite computational resources. In real life, light emanates from a source in the form of photons. The photons exit the light source and (ignoring relativistic and gravitational lensing effects) travel in a straight line (a ray) until they encounter a surface which interferes with further travel. The physical interaction between photons and surface materials determine how they are absorbed, reflected, and transmitted. The images we see with our own eyes are the result of billions of photons bouncing around, some of which are absorbed by the retina in our eves. This model is called *forward* ray tracing. One could certainly implement this model on a computer, but there is a major problem with the use of forward ray tracing, it would spend a large percentage of its time simulating light that is never seen. Rather than spawning rays from light sources to the eye, as it is in real life, it is more computationally efficient to trace rays from the eye to the light sources. Backward ray tracing only performs calculations for photons that are likely to be visible. An excellent introductory book on the subject of ray tracing is "An Introduction to Ray Tracing", by Glassner et al. [9].

B. <u>THE VIRTUAL CAMERA</u>

The first stage in the ray tracing algorithm is the generation of *primary* rays, the rays which start the recursive ray tracing process. The camera model employed by most ray tracing systems generates primary rays by simulating a simple pinhole camera. In the pinhole camera model, a primary ray originates at the camera position, and is directed such that it pierces a point lying in the *image plane*. The image plane is subdivided into a two dimensional grid of pixels, which correspond directly to the pixels in the final output image. In a simple ray tracer, primary rays are fired through the center of each pixel in the image plane, and the resulting color is stored in the corresponding pixel in the output image.



Figure 1. Virtual Camera and Image Plane

Figure 1 illustrates the camera location, image plane, and viewing frustum created by this simple model. In the simplest ray tracer implementations, only one primary ray is used to determine the color of a pixel in the output image. Improved ray tracing algorithms fire multiple primary rays through a given pixel's area in the image plane, in order to minimize *aliasing* artifacts. The number and direction of primary rays used to determine pixel color is determined by the antialiasing technique used by a given ray tracing system. Antialiasing is discussed in greater detail later in this chapter.

C. VISIBLE SURFACE DETERMINATION

Ray tracing differs from most other rendering techniques in the way it performs visible surface determination. Polygon oriented rendering techniques represent objects as collections of triangles or other planar polygons. In order to render curved geometry with adequate precision, polygon renderers tessellate curved surfaces with thousands of polygons. A significant problem with this approach is that no matter how finely a surface is tessellated it is always possible to choose a viewing configuration such that the edges of polygons can be seen. The only way to avoid this problem in a polygonal representation is to tessellate curves so that constituent polygons are smaller than a pixel in the resulting view. Many polygon based rendering systems employ dynamic *level of detail* algorithms which adaptively tessellate surfaces based on the viewing configuration.

Ray tracing performs visible surface determination separately for each pixel in the rendered image. Camera rays are intersected with objects in the scene. The intersection closest to the camera is taken as the visible surface. Since visible surface determination is done with at least pixel level resolution, curved surfaces are accurately sampled. Any kind of geometry that can be sampled via intersection tests can be represented in a ray tracing system. In order to render a sphere in a polygon based renderer, the sphere must be tessellated with polygons up to the required level of detail. A ray tracer tests a ray for intersection with the sphere. If an intersection exists, then the point or points at which the ray intersects the sphere are inserted into an intersection list. Since intersection tests are performed for separately for each pixel, the surface of the sphere is sampled pixel accurate resolution, yielding a curved looking surface regardless of the viewing configuration. The ray tracing process automatically produces the highest level of detail, since it samples geometric structure at each pixel. The results obtained by ray tracing are equivalent to tessellating a surface down to sub-pixel sized polygons. The geometric representation used in ray tracing can be much more memory efficient than that of a polygon renderer for this reason.

D. <u>SURFACE SHADING</u>

Once surface visibility has been determined through intersection tests with the objects in a scene, a color must be assigned to the resulting surface at the closest point of intersection. Surface shading can be done many different ways. Ray tracing offers an elegant framework for performing a variety of shading operations. As with visible surface determination, ray tracing performs shading calculations for each pixel independently. Depending on the characteristics of an object's material, factors such as ambient light, diffuse light, reflection, refraction, and surface texture affect its coloring. The per-pixel evaluation of lighting and texturing employed in ray tracing allows stunningly realistic images to be produced.

1. <u>Ambient Light</u>. Although ray tracing systems are capable of realistically emulating many optical effects, they typically employ simple algorithms for rendering shadows and indirect illumination. The standard ray tracing model only accounts for direct illumination; lighting attributed to rays which travel from a light source directly to a surface, with no contributions from secondary reflections or transmissions from other objects. In the real world, light emanates from a source, possibly reflecting off

of many surfaces before illuminating a surface. A good example of indirect lighting is a room with white walls, a table, and a lamp sitting on top of the table. In the real world, light emanates from the lamp on the table, and bounces around the room until it is completely absorbed by the surfaces in the room. Since the light is on the table, there is a region of shadow underneath the table. The table blocks all direct illumination from the lamp to the area underneath the table, leaving it in shadow. In the real world, the shadow cast by the table is not absolutely dark. Secondary reflections from the walls of the room illuminate the area underneath the table. The ray tracing algorithm accounts for direct illumination caused by the lamp on the table, but does not take into account the contributions of indirect lighting. Although the area under the table is not in complete shadow in real life, a ray traced image of this scene would yield an absolutely dark shadow under the table. A crude approximation of the effects indirect lighting may be obtained through the use of a constant ambient lighting value. For higher quality rendering, global illumination techniques such as radiosity may be employed as a pre-processing step to ray tracing, providing more realistic emulation of indirect lighting effects.

2. <u>Shadows</u>. The ray tracing algorithm handles shadows by spawning shadow test rays from the intersection point on a surface towards each of the lights in the scene. If a shadow ray encounters an object before it reaches the light, then the point is in the shadow of the occluding object. In a simple implementation, only one shadow ray is fired for each light, and the transparency of an object is not taken into consideration. Improved shadow determination methods spawn multiple shadow rays towards each light, in order to soften the edges of a shadow, and reduce aliasing artifacts. More sophisticated ray tracers may test an occluding object's transparency, index of refraction, and color in order to simulate filtering effects and caustics. Figure 2 illustrates a simple scene with an object casting a shadow onto a plane.



Figure 2. Shadow Cast by an Object

Shadow determination can be one of the most time consuming stages in the ray tracing algorithm, since a shadow ray is spawned for every light source in the scene, at every point where shading is performed. A simple optimization for shadow testing is to provide an early exit in the intersection test code so that the shadow test terminates immediately when **any** intersection is found between the light source and the surface being shaded. This optimization works for ray tracers that do not handle complex filtering and caustic effects. Two other optimization techniques which can greatly improve the efficiency of shadow testing are the *shadow cache* and the *light buffer* algorithm [9].

3. <u>Diffuse Shading</u>. After shadow tests have determined that there are no occlusions blocking a light source, surface shading calculations for that light may begin. Diffuse shading determines the amount of light reflected from a dull matter surface such as typing paper. A simple method for approximating the diffuse reflection from a surface is to evaluate the cosine of the angle between the surface normal, and a vector pointing in the direction of the light. This can easily be evaluated by computing the dot product between the surface normal and the light vector, assuming both vectors have already been normalized. The resulting scalar value represents the diffuse contribution of the light source at that point on the surface. Figure 3 illustrates the vectors used in the diffuse shading calculations.



Figure 3. Diffuse Illumination of an Object

4. Specular Highlights. Specular highlights simulate the reflective characteristics of shiny materials such as plastic and metal. These highlights are different from perfect specular reflection such as a mirror. Specular highlights are used to model imperfect reflecting surfaces. Rather than reflecting light only at one exact angle, imperfect surfaces reflect light over a range of angles. Smooth reflecting surfaces have a very narrow range of angles for which reflectance is high. Rough surfaces have a high reflectance over a wider range of angles. Romney [40] and Phong [36] [37] each developed shading equations for approximating specular reflections using a cosine function raised to an exponent. This technique provides a computationally inexpensive alternative to calculating specular reflections by firing reflection rays. The drawback to this technique is that it doesn't take into account reflections of anything other than the lights in a scene. For mirror reflections or more accurate specular reflections, techniques that are more computationally expensive must be used. Figure 4 illustrates the geometry involved in performing shading for specular highlights based on the cosine exponent model.

5. <u>Specular Reflection</u>. A ray tracer can simulate specular reflections for surfaces which have mirror-like properties very easily, by spawning reflection rays and adding the resulting color intensities to the intensities calculated for other surface properties. For perfect reflectors such as a mirror, the distribution of reflection rays lies entirely along a single ray. For reflectors which are imperfect, hazy, or blurred, the distribution of reflection rays lies over a range of angles, which are narrower with nearly perfect reflectors, and wider for hazy or blurred reflectors. Figure 5 illustrates



Figure 4. Phong Highlighting

incident rays bouncing off of a planar mirror, hitting another object.

6. <u>Refraction</u>. All of the shading techniques discussed up to this point have dealt with opaque surface characteristics. Transparent objects may allow transmission of light through the volume of the object depending on the index of refraction for the object's material and the index of refraction of surrounding space. In a simple implementation, refractive effects are ignored, and a transmission ray continues through the material along the same path as it enters and leaves an object. Refractive effects can be calculated using Snell's law. Figure 6 illustrates refraction at the interface between two transparent materials with different indices of refraction.

A significant difficulty in implementing refractive effects properly lies in the task of keeping track of the indices of refraction for the space a ray is entering or leaving at any given time. When a new ray is created, it starts in some material. As the ray continues through space, it enters and leaves other materials which may



Figure 5. Specular Reflection

have different indices of refraction. For ray tracing systems that only implement solid geometric primitives, the task is straightforward. Indices of refraction can be determined by the solids the ray is entering and leaving. For ray tracing systems which allow the use of polygonal meshes for the representation of solids, keeping track of the correct indices of refraction can be difficult. In these systems, some other method must be employed to help the ray tracer "remember" what indices of refraction to use on the two sides of the interface between the materials. One possible solution to this problem is the use of a stack to keep track of the index of refraction for the space the ray is leaving and entering at each interface. When the ray passes into an object, the index of refraction for that object is pushed onto the top of the stack. When the ray leaves the object, the index of refraction is popped off of the stack.



Figure 6. Refraction

E. <u>ALIASING</u>

Ray tracing is based on discrete sampling of a continuous sample space. Image quality obtained by ray tracing is the direct result of the quality and representativeness of the samples taken. In order to achieve the highest image quality, antialiasing techniques may greatly improve image quality with some additional computational cost. Antialiasing algorithms typically cause multiple camera rays to be fired for each output pixel. The number of extra rays and their directions are determined by the antialiasing algorithm. Since aliasing can occur both spatially and temporally, advanced ray tracers used for animation may distribute rays temporally as well as spatially.

A variety of approaches to antialiasing may be used. Supersampling is a very simple antialiasing technique which subdivides each pixel into smaller subpixels along a uniform grid. Camera rays are then fired for each of these subpixels, and the resulting colors are combined using simple averaging, or a weighted average, to produce the resulting pixel. Although easy to implement, supersampling is still quite susceptible to aliasing effects, and can be computationally wasteful when overused. A slight modification to the supersampling technique can make it more computationally efficient, and give it greater flexibility. Adaptive supersampling attempts to determine areas where aliasing effects occur, and automatically subdivides a pixel into subpixels, and potentially recursively subdividing into even smaller subpixels based on differences between adjacent pixels or subpixels. Adaptive supersampling techniques generally employ simple metrics which measure the variance between the colors of neighboring pixels. If neighboring pixels differ by more than a certain threshold, they are recursively supersampled until either they differ by less than the threshold, or a maximum cutoff subdivision depth has been reached. The resulting pixels are then averaged or filtered to produce the final pixel color stored in the resulting image.

Adaptive supersampling based on a uniform grid subdivision scheme can still suffer from grid alignment aliasing, even when supersampled to great depth. In order to combat this problem, samples may be taken in a non-grid-aligned fashion. The sample points may be determined pseudo-randomly for stochastic sampling, or they may be chosen based on a statistical distribution. These techniques avoid grid alignment aliasing artifacts and may require fewer samples to produce results of equal quality to those obtained by grid-aligned sampling techniques of greater depth.

F. <u>NUMERICAL PRECISION AND RECURSION</u>

The ray tracing algorithm can encounter problems when rendering some scenes due to the finite precision of floating point arithmetic on computers. This problem can manifest itself in the generation of rays for reflection, refraction, and shadows. The problem occurs when a new ray is generated at a hit point on an object, going in some new direction. In some cases a ray will tend to intersect the same surface it is supposed to be leaving. In order to avoid this problem, a ray tracer can manipulate the ray's starting point so that it is far enough off of the surface it is leaving that the intersection testing routines do not erroneously intersect with the surface the ray is leaving.

Another problem that can occur is the generation of an infinite, or nearly infinite number of reflection or transmission rays. In a scene containing two perfectly parallel, perfectly reflective mirrors, it is possible for a ray which is perfectly perpendicular to endlessly generate reflection rays. In the case of transmission, a ray may encounter total internal reflection, inside of an appropriately curved object such that it also endlessly generates transmission rays. One way to prevent a ray tracer from getting stuck in such pathological cases is to set a hard limit on the maximum level of recursion for the rays in a scene. A recursion limit of one would only allow primary rays to be traced. Recursion levels greater than one would allow reflections and transmissions to be ray traced. When the maximum level of recursion is reached, rather than generating reflection or transmission rays, the scene background color is used [9].

III. SURFACE TEXTURING

A. <u>GENERATING OBJECT SPACE COORDINATES</u>

Before implementing a transformation procedure that maps 3-D object space coordinates to parametric coordinates, a ray tracer needs to generate the object space coordinates to be mapped. In ray tracing, this is easily done by using the point where a ray intersects the surface of the object to be texture mapped. The added cost of texture mapping is negligible compared to the cost of intersection testing, so texture maps are a computationally cheap alternative to high geometric complexity. Texture maps can provide levels of detail which are impractical to represent with highly tessellated surfaces of constant textures. Techniques such as MIP mapping [55] provide effective ways to avoid aliasing artifacts, as well as providing some depth of field effect with minimal additional computational cost.

B. <u>COORDINATE TRANSFORMATION</u>

In order to implement texture mapping in a ray tracer, the first step is to create a mapping function which takes a 3-D coordinate as its input, and generates a 2-D parametric texture coordinate as its output. These transformations provide the mechanism for mapping a two dimensional image or procedural texture, onto a three dimensional surface. An easy coordinate transformation to implement is a cylindrical mapping. For a cylindrical mapping, one could use the polar texture coordinate U to index the X coordinate of the mapped image. One could then use the coordinate V (along the axis of the cylinder) to index the Y coordinate of the mapped image. The polar U and V coordinates are derived by transforming world coordinates into the cylinder's coordinate system and then performing a standard polar coordinate conversion.

C. <u>TEXTURE LOOKUP</u>

Once the ray tracer has mapped a 3-D object space coordinate to its corresponding 2-D texture space coordinate, texture mapping routines generate a corresponding color that will be used to paint the hit point on the surface of the object being textured. Since the texture space is two dimensional, this is a relatively simple procedure. This is the stage where procedural textures and image mapped textures diverge. In the case of procedural textures, a texturing procedure is called, which calculates the mapped color based upon an equation, algorithm, or other rules. Procedural texturing functions may be arbitrarily complex, potentially calling many additional subroutines while generating texture color. For image mapped textures, U and V texture coordinates are used as indices into a two dimensional array containing the actual pixel data for the mapped image.

In order to make image mappings more flexible, scaling, rotation, and translation operations may be applied to the 2-D texture coordinates to alter the size and location of an image map on the surface of the target object. Excessive image map scaling, or insufficient image map resolution will result in aliasing artifacts in the resulting image [52]. In order to combat this problem detailed images should be used, or their mappings should be scaled to a relatively small size in world coordinates. This is the most basic of image mapping techniques. Higher quality results are possible through the use of interpolation [23], filtering [2] [5] [15] [22] [21], MIP mapping [55] [33], and summed-area tables [3].

Modulo functions may be used to make an endlessly repeating tilings of mapped images in texture space. Many textures can be accurately reproduced with small repeated images. Examples of easily tileable textures include brick, parquet flooring, tile, concrete, asphalt, grass and meadow, and others. Tiling can drastically reduce the memory requirements for mapping high detail textures onto large objects. This is of crucial importance for realistic rendering of large indoor and outdoor environments where thousands of different textures may be needed in order to achieve photorealistic results. Other optimizations involve the use of demand-loaded textures. In this technique, textures aren't loaded until they are first referenced during the rendering process. Additionally, texture caches may be used, so that extremely complex environments may be rendered, including scenery which uses more texture than can be held in a machine's physical memory. A texture caching and paging system can be used to unload textures which are only used for part of a scene, based on a *least* recently used paging scheme. An intelligent texture paging system can provide better performance than the virtual memory systems in most operating systems are capable of.

D. IMPROVING MAPPING QUALITY

Since the ray tracing process results in point sampling of texture space, antialiasing techniques for texture mapping attempt to provide a more accurate determination of color than would be obtained by point sampling itself. One way to reduce aliasing effects when doing image mapping is to use interpolation [51] during the lookup of the texture coordinate and its image map pixel. Instead of returning the color at the nearest image pixel, we can interpolate between the 4 nearest pixels, to come up with a more accurate, and smoother image. This technique adds very little additional overhead, but makes big a difference in the visual quality of scenes where the camera focuses on a mapped object at a high level of magnification. A good discussion of spatial aliasing can be found in [4].

Images used in texture mapping for animation should be chosen with care, especially when used with tiling. When images are tiled over a surface, any discontinuities at the tile edges are easily visible in the final image. Tiling artifacts may be avoided by performing edge blending on image maps prior to rendering. In addition to the spatial aliasing artifacts discussed above, temporal aliasing artifacts [9] appear when creating animations. A simple example of temporal aliasing can be seen the way a wagon wheel may appear to spin in both forward and reverse both directions depending upon the frame rate of an animation and the rate of wheel revolution. Temporal aliasing exhibits itself in many forms, including "crawling" pixels, "pixel popping" and others. Motion blur may be employed to counteract the effects of temporal aliasing.

E. <u>IMAGE MAPPED TEXTURES</u>

Image mapping is a technique for applying textures onto the surfaces of objects based on a world coordinate/texture coordinate mapping, and a source image. This technique uses the same kind of mapping transforms that procedural textures use but has its own advantages and problems. Image maps are used whenever it is more convenient to simulate the surface appearance of an object by coloring it according to an image rather than by using mathematical calculations. Image maps are well suited for general rendering applications, because they are easily created with drawing programs and by photography. Procedural texture maps [4] are typically more flexible than image maps, but they must be compiled before use, or interpreted at run time, both of which have their problems. Image maps typically require much more memory at run-time than procedural textures, so they are impractical when memory is scarce.

In order to apply images onto the surfaces of objects, we must establish a mapping function between the three dimensional world coordinate system and the coordinate system used by the texturing algorithm. Most texture spaces are two dimensional, with few exceptions. Although textures are mostly two dimensional, this does not limit their utility, the 2-D texture space can be applied to a 3-D geometric space in many different ways. Some common examples of mappings are spherical, cylindrical, rectangular-planar, and polar-planar. Each of these types of mappings, takes a 3-D geometric input coordinate, and outputs a 2-D texture coordinate in U-V parametric space of the mapping. Some good standard inverse mappings for texture mapping can be found in [9].

F. PROCEDURAL TEXTURING

Procedural texturing is similar in many respects to image mapped texturing. Instead of determining shading parameters through referencing an image, a procedural texture generates shading parameters by executing procedural texturing algorithms. Procedural textures can generate highly complex textures with very little memory usage. Procedural textures typically generate detail on-the-fly rather than by precalculating large tables. Procedural textures and image mapped textures may be combined to provide highly complex texturing combinations. Procedural textures can be organized in hierarchical texture trees, higher levels of the texture tree combine multiple lower level textures. An example of hierarchical texturing is a chess board. A two dimensional checker pattern can be built from a checkering procedure and two lower level textures. The lower level textures could be image mapped textures or they could be procedural textures. Using this technique it is easy to create arbitrarily detailed textures.

IV. VOLUME RENDERING

As the size of main computer memory has increased, it has become practical to simulate fluid flow, weather, and other spatial phenomena using multidimensional vector fields. These computational fields typically include information such as density, pressure, temperature, velocity, vorticity, opacity, color and other attributes. Each cell in the multidimensional field contains one or more of these attributes, which can be visualized by volume rendering. By mapping the cell attributes to visual characteristics, scientists and researchers can visualize contours, trends, and anomalies in computational field data.

Applications for volume rendering can be found in many fields. In neurology, MRI scans are taken of the patient's cranium when searching for anomalies in blood flow, tissue structure, and brain activity. Without the ability to render these three dimensional fields a neurologist would have to look at two dimensional slices of the area of interest, rather than three dimensional cut-away views, or three dimensional solids. Volume rendering can produce images that look very similar to an X-Ray. Images of isosurface contours and false color images can provide high contrast, easyto-interpret visualizations of important attributes.

Volume rendering is also commonly used to visualize the results of computational fluid dynamics (CFD) simulations. CFD visualization helps identify pressure contours, shock wave propagation, and areas of high and low velocity, temperature, and vorticity. Fields using computational fluid dynamics modeling include aerospace engineering, civil engineering, mechanical engineering, chemistry, and physics. Volume rendering can also be used to create complex surfaces built from standard geometric solids and *Hypertextures* [4].

A. VOLUME RENDERING TECHNIQUES

There are two major techniques for generating images from volumetric data, ray casting, and polygonal isosurface extraction. In order to generate useful images from volumetric data, a user must be able to control how cell attributes are mapped to rendering characteristics. In order to render an image of a magnetic resonance or computed tomography dataset, a renderer may map scalar density values to color, opacity index of refraction, diffuse reflectance, and specular reflectance. A simple volume renderer might generate images similar to a classic X-Ray, that only the incorporate the accumulation of opacity and color as light travels through the volume. In order to model lighting more accurately, self shadowing and reflection could be added. With more advanced models, it might be desirable to account for refraction and scattering of light as it passes through the volume.

Volume rendering by ray casting provides the best combination of speed and flexibility for most applications. Polygonal mesh extraction is advantageous when polygon rendering hardware is available on the computer used for rendering, but has drawbacks in parallelization and flexibility. The *Marching Cubes* algorithm is a popular polygonal mesh generation technique used for rendering isosurfaces from volumetric data [52]. Ray traced volume rendering is advantageous when memory usage is a concern, or when data must be rendered in-place without preprocessing. In all cases, rendering complexity should be controlled by the user, so that compromises between rendering quality and execution time may be tailored for the needs of a specific application.

B. <u>VOLUME RENDERING USING RAY TRACING</u>

The ray tracing algorithm can be easily modified for rendering volumetric data. The core of the algorithm works in the same way when rendering volumes as it does for rendering other primitives. A volume may be bounded, or infinite. If the volume is bounded, an intersection test is performed to determine if the ray pierces the bounds. If the ray pierces the bounds, then the entry point and exit point are used as the start and end points for voxel integration. If the volume is unbounded, then the start point is the ray origin, and voxel integration continues until the integrated voxels have reached full opacity. If the integrated voxels reach full opacity before the end point is reached, the algorithm exits early. If the integrated voxels have not reached full opacity when the end point is reached, a transmission ray is fired from the end point out into the rest of the scene. This method is simple, and doesn't account for the possibility of other objects inhabiting the same space as the volume being rendered.

In order to render multiple intersecting volumes or intersecting objects, more sophisticated algorithms must be used. Sobierajski and Kaufman have presented a method for rendering scenes containing both volumetric and geometric data [44]. To account of the possibility of geometric objects occurring inside of a volume, the ray tracer must determine the entry and exit points for the volume, and for the first geometric object that the ray intersects. In order to render a volume containing
geometric objects, voxel integration proceeds from the volume entry point until integration reaches full opacity, or until it reaches the entry point for a geometric object. If multiple volumes are allowed to inhabit the same space, there are several alternative methods for rendering them. One method for rendering a scene with overlapping volumes could assume that a ray may only be allowed to integrate through one such volume at a time. In this case, the ray is always integrating voxels in the volume most recently entered. Another method is to sum the voxel attributes of the intersecting voxels together while integrating. As the ray passes through the combined voxel space, it integrates opacity and color from all of the intersecting volumes at each step.

C. <u>VOXEL INTEGRATION</u>

As a ray passes through a volume, it is gradually attenuated by the accumulated opacity values of the voxels it passes through. At the entry point of a volume, this accumulated opacity is set to zero. As the ray passes through voxels, the opacity per unit length is multiplied by the distance the ray travels for each step, and is accumulated as a total opacity. When the opacity reaches 100 percent, voxel integration terminates, even if the volume exit point has not been reached.

As each voxel sample is taken, it is shaded according to its characteristics, and the resulting color is accumulated with the previous samples. The color is attenuated by the accumulated opacity of the voxels the ray has passed through up to that point. If the ray passes through the entire volume and it hasn't been completely attenuated by opaque voxels, a transmission ray is generated, to account for light entering the volume from the other side. The color of the transmission ray is accumulated with the previously accumulated voxel colors, yielding the final color.

D. VOXEL SHADING

Depending on the type of volume being rendered, there are several approaches to voxel shading which give different visual results. In order to calculate a surface normal for a sample point, we can use a *volume gradient* [32] [52]. Given that N is the surface normal of a voxel and that D(x,y,z) is the density function for a voxel, the equation below calculates N.

$$N_x = D(x + 1, y, z) - D(x - 1, y, z)$$
$$N_y = D(x, y + 1, z) - D(x, y - 1, z)$$
$$N_z = D(x, y, z + 1) - D(x, y, z - 1)$$

Once a surface normal has been calculated for a voxel, diffuse shading, reflection, and other calculations may be done in the same way as they are done for geometric objects. The accuracy of the calculated surface normal is crucial to the generation of high quality images, discontinuities in the first derivative are easily perceptible by the human visual system. Moller *et al.* present a comparison of various normal estimation schemes, and their relative computational costs as applied to volume rendering in [32].

E. VOLUME FILTERING AND ANTIALIASING

As with the other sampling techniques used in ray tracing, volume rendering calculations are done with discrete samples of a continuous domain. Discrete sampling causes the familiar aliasing problem seen in many other graphics algorithms. Voxel aliasing problems can be minimized by using filtering techniques to better approximate the properties in the continuous domain. Voxel filtering adds additional overhead to the rendering process, but is necessary when highest image quality is a requirement. One of the simplest filtering methods is to use trilinear interpolation to approximate volume characteristics at a point in space rather than using the characteristics of the voxel closest to the sample point. It may be advantageous to implement an adaptive voxel sampling method to improve the execution speed for a given level of rendering quality.

Another way of improving rendering quality is to filter the volume data before the rendering process begins. Filtering the data may simply consist of applying gain to scalar values, or it may involve a complex convolution of many neighboring voxels. Volume filtering techniques are usually simple extensions of familiar two dimensional image processing techniques [26] [51].

V. RAY TRACING ACCELERATION TECHNIQUES

Although the ray tracing algorithm provides a mathematically elegant method for rendering realistic looking scenes, it is also very computationally intensive. Without efficiency optimizations and techniques discussed below, a naive ray tracer will consume an immense amount of processing time rendering even relatively simple scenes. Nearly all of the run time in a ray tracer is spent performing intersection tests to determine surface visibility and shadowing [54]. In order to improve rendering speed, efforts should be focused on making intersection tests *faster*, or on *reducing* the number of intersection tests performed while rendering. Intersection tests can be made faster by employing algorithms which take advantage of the unique properties of geometric primitives. An intersection test with a sphere can be done a number of ways [9] [10]. Mathematically, a sphere is a quadric surface, and intersection tests can be performed by solving for an intersection between a ray, and the general quadric equation for a sphere. An alternative method for sphere intersection tests involves using the specific geometric properties of a sphere rather than solving a generic quadric equation. Designing optimized intersection algorithms for each primitive yields a worthwhile performance increase, but only changes overall runtime by a constant factor. Optimized algorithms for intersecting several geometric primitives are given in the Graphics Gems series [10] [11] [12] [20] [35]. In order to increase performance beyond the constant factor afforded by primitive specific optimizations, techniques for reducing the number of intersection tests must be employed.

A. BOUNDING HIERARCHIES AND SPATIAL SUBDIVISION

Since ray tracers spend the majority of their time performing intersection tests [54], one of the most effective ways to accelerate the ray tracing process is to reduce the number of intersection tests performed. Bounding hierarchies and spatial subdivision techniques reduce the number of intersection tests performed by enclosing groups of objects within a bounding volume. Objects are only tested for intersection if they are unbounded, or if their bounding volume was successfully intersected. If a ray does not intersect a bounding volume, it cannot intersect the objects enclosed within the volume. In a similar manner, bounding volumes may be used enclose other bounding volumes, building a tree-like structure of bounding volumes, enclosed objects, and subvolumes. Whitted [54] originally used spheres as bounding volumes since they are one of the fastest shapes to test for intersections. Other shapes such as axis aligned bounding boxes [41], and parallel plane sets [28] may also be used. We ghorst *et al.* [53] point out that the effectiveness of a bounding volume is directly related to its *tightness of fit*, and its own *cost of intersection*. Through the use of hierarchical bounding volumes [41], ray tracing can attain logarithmic time complexity with the number of objects, rather than linear time complexity. This greatly improves the applicability of ray tracing to complex scenes.

Although bounding volumes provide an effective way to accelerate the ray tracing process, it is difficult to design heuristics which will choose good bounding volume hierarchies for a wide variety of scenes. Without sophisticated heuristics for balancing the relative intersection costs and tightness of fit criteria, it can be difficult to implement bounding volume hierarchies which perform well even in pathological cases. Spatial subdivision techniques are based on the same principles as bounding volumes, but they take a slightly different approach. Spatial subdivision techniques rely on auxiliary data structures which relate regions of space to objects which inhabit the space. Examples of these techniques are Octrees [13], Binary Space Partitions (BSP) [25], and Spatially Enumerated Auxiliary Data Structures (SEADS) [7]. The performance of spatial subdivision is highly dependent on the efficiency of auxiliary data structure traversal. The performance results in this thesis reflect the use of hierarchical bounding boxes, and hierarchical SEADS-like acceleration schemes.

B. SHADOW CACHING AND LIGHT BUFFERS

Statistically, more intersection tests are performed for shadow determination than for primary rays, reflection rays or transmission rays. The number of shadow rays spawned is proportional to the number of light sources in a scene. In a scene containing three light sources, approximately 75% of the rays spawned are attributable to shadow tests. In this example, 25% of the rays cast are primary rays. For each primary ray that hits an object, surface shading calculations take into account whether or not each of the three light sources is in shadow. Two acceleration techniques specifically designed for accelerating shadow tests are the shadow cache, and the light buffer [19] [17]. Both techniques accelerate ray tracing by reducing the number of intersection tests performed for shadow rays.

A shadow cache operates on the assumption that consecutive rays share some amount of spatial coherence, and that statistically they tend to intersect objects at points which are near previous intersections. Given these assumptions, shadow tests may be accelerated by keeping a cache of the most recent shadow casting objects for each light, testing the most recent shadow casting object immediately rather than traversing the object database in the usual manner. In a scene where the assumptions on ray coherence hold true, a shadow cache can greatly enhance rendering speed. The salient point about shadow caching, is that it only accelerates shadow tests when shadow rays tend to be coherent, and when objects in shadow are visible. If the shadow test on the cached object fails, then the ray tracer must continue testing the rest of the object database in the usual manner. If the shadow test on the cached object succeeds, then the ray tracer may terminate shadow testing early, skipping a potentially significant amount of computation. For ray tracers which implement caustic effects, or filtered shadows, only objects which are entirely opaque may be placed in the shadow cache.

Light buffers reduce the number of intersection tests performed for shadow tests by preprocessing the object database, and constructing a data structure which enumerates objects that lay in a particular direction relative to a light source. When the shadow ray is cast from a surface to a light, the data structure is referenced to retrieve a list of objects which lay in the direction of the surface relative to the light. A light buffer can be constructed by projecting the objects in space, onto the sides of a *direction cube* centered on the light. Each face on the direction cube is subdivided into a grid, which represents an infinite pyramid from the center of the light source, through the subdivision in the grid, out into space. During light buffer construction, each object in the scene is projected onto the faces of the direction cube. Each cell in the faces of the direction cube corresponds to an infinite pyramid which projects out into space. If an object inhabits space within a given cell's pyramid, it is added to a list of objects for that cell. During a shadow test, the shadow ray is projected onto the direction cube. A shadow ray will pierce exactly one cell on the sides of the direction cube. Once the appropriate cell is determined, each of the objects in the cell's list are tested for casting a shadow. Objects in the cell's list are tested in order of increasing distance from the light, up to the distance of the object being shaded. If any object is found to cast a shadow, testing may terminate early, providing improved runtime. Since objects closer to the light are likely to cast shadows over a larger percentage of the scene, they are tested first, in hopes of performing the least amount of shadow testing through early termination. Light buffer performance is not dependent on the spatial coherency of a ray with previously calculated rays.

Both the shadow cache and the light buffer offer performance enhancements for shadow ray acceleration. Although the shadow cache is an easy algorithm to implement, it has significant drawbacks when applied in a multiprocessor environment. In order to attain high performance from a shadow cache, it is critical to maintain a high level of spatial coherence between shadow tests. This requirement imposes limitations on the decomposition of work on a multiprocessor system. In particular, a shadow cache performs very poorly when rays are cast using a pixel-cyclic decomposition. In addition to this problem, the shadow cache must be kept separate for each processor in a shared memory system. If a shadow cache were to be shared among multiple threads of execution, mutual exclusion locks would have to be used to inhibit multiple processors from modifying the cache simultaneously. The easiest way to avoid this problem is to use separate shadow cache data structures for each processor, thereby avoiding the necessity for costly mutual exclusion lock operations which would otherwise severely limit performance.

The light buffer avoids many problems that exist with the shadow cache because it takes advantage of explicit ray coherence properties which are determined before ray tracing begins. Since a light buffer is created by preprocessing the object database, it is treated as a read-only data structure during rendering, and may be shared safely by any number of processors without the need for mutual exclusion locks. In addition, since the light buffer makes use of explicitly determined coherence properties which are stored in its data structures, it performs equally well regardless of the spatial coherence between consecutive shadow rays. Light buffers can be difficult to use with primitives that cannot be projected and scan converted onto the cells of the direction cube. A workaround for this problem is to project and scan convert axis aligned bounding boxes for such objects. This solves the problems that arise when projecting complex objects such as quartic surfaces and volumetric objects. Infinite objects for which no bounding box can be calculated must stored in a separate data structure and tested separately from the light buffer. As with all acceleration techniques, the light buffer has an Achilles heel. The basic assumption made in the construction of a light buffer is that objects projected onto the direction cube tend to be distributed into many of the grid cells on the cube, providing minimally sized lists for each cell. It is easy to construct a scene where this assumption does not hold. In a scene where the light is extremely far away from the objects being rendered, all of the objects in the scene will tend to be projected into a small number of the cells of the direction cube, limiting the performance enhancement derived from the use of the light buffer. Mathematically, if the light is infinitely far away from the objects in the scene, all of the visible objects will project onto a single cell of the direction cube, rendering the light buffer useless. In order to avoid this problem, the facets of the direction cube could be subdivided in a quadtree-like manner, subdividing over-full cells into sub-cells.

VI. PARALLEL RAY TRACING

Ray tracing efficiency schemes can drastically improve the execution time of ray tracing software, but they have limitations and may perform poorly under adverse conditions. When algorithmic efficiency schemes have reached their limits and are no longer able to provide necessary increases in performance, the only remaining option is to apply more processing power to the problem. The judicious application of parallel processing techniques to ray tracing can dramatically increase performance while retaining its elegance.

Ray tracing is especially well suited for parallelization. Each pixel in the ray traced image can be calculated independently of the rest of the pixels in the image. This property is known as data parallelism. Ray tracing is often placed in the category of algorithms that are sometimes referred to as *embarrassingly parallel*. Although naive ray tracing systems are trivial to implement in parallel, there are significant challenges involved in implementing an efficient photorealistic parallel ray tracing system. Many of the algorithmic efficiency schemes developed to increase ray tracing performance work against the scalability of a parallel ray tracing system. Load balance, and constraints placed on the accessibility of data in shared and/or distributed memory present problems that must be overcome in a high performance ray tracing system.

Photorealistic rendering tends to rely heavily on the use of texturing to provide convincing levels of realism in a scene. Many procedural textures can be designed and implemented with little difficulty in a concurrent execution environment. Problematic cases exist when texturing algorithms reference extensive modifiable data structures. Algorithms which attempt to optimize execution by deferring I/O or data structure generation until first reference can be difficult to parallelize efficiently. In a shared memory environment such algorithms must use mutual exclusion locks to avoid memory corruption. Excessive use of mutual exclusion locks can severely degrade performance in situations where many processors are competing for the use of a shared resource. In some algorithms, double buffering or caching of data structures may be necessary in order to avoid "hot spotting" and excessive mutex lock contention.

A simple example of parallelization problems which plague standard rendering software can be found in algorithms that make use of pseudo-random numbers. Some texturing algorithms depend on the reproducibility of sequences of pseudo-random numbers. In a distributed memory system, this problem can be solved by forcing all nodes to seed their random number generators with the same number. By seeding all random number generators the same way, all nodes will be able to generate the same sequence of random numbers. If the random number generators are not seeded correctly, procedural textures that depend on predictable sequences of random numbers will exhibit tearing effects along the boundaries of the work decomposition among nodes. In a shared memory system, the random number problem can be even harder to solve. The standard ANSI C library function rand() is not thread-safe on most systems. Because rand() was designed to store its seed in an internal static variable, concurrent calls to rand() will cause threads to get sequences of numbers which are not reproducible. This problem can be solved either through the use of a custom designed random number generator, or through the use of a newer thread-safe version of rand(). Unfortunately, since a thread-safe version of rand() is not universally available, portability concerns dictate the use of custom code to solve the problem. An alternate solution to building custom random number generators is to implement texturing algorithms which use precalculated tables rather than generating random numbers on-the-fly.

Since the ray tracing algorithm processes pixels independently of each other, a wide variety of problem decompositions are available for scheduling work on processors in a parallel computer. The choice of decomposition affects various aspects of ray tracing performance. Decompositions that retain some degree of ray coherence help ray tracing acceleration algorithms that use caching of previous results to improve ray tracing performance. Decompositions that work in larger blocks help improve I/O and communication performance since I/O requests and messages will happen less frequently. Scanline cyclic decompositions improve I/O performance since output routines only need to perform seek operations at the beginning of each scanline or group of scanlines. Figure 8 illustrates a scanline-cyclic decomposition of pixel calculations. Block cyclic decompositions have the best ray coherency, but tend to leave irregular sized areas at image borders which require special handling. Block cyclic decompositions also cause I/O routines to perform multiple seek operations when outputting completed pixel blocks. Figure 7 illustrates a block-cyclic decomposition of pixel calculations. Pixel-cyclic decompositions provide the maximum degree of concurrency and scalability, but severely degrade ray coherency and add significantly to I/O and message passing overhead. All three of these static problem decompositions have their own advantages and drawbacks, depending on the factors under consideration. Utilizing combinations of these three decompositions, performance may be optimized within the limits of what a static decomposition can provide, for a variety of parallel execution environments.



Figure 7. Block Cyclic Ray Tracing Decomposition

A. <u>DISTRIBUTED MEMORY RAY TRACING</u>

For the last decade, the majority of parallel computing has been done on distributed memory parallel computers. Distributed memory parallel computers are typically built with large numbers of commodity processors, coupled by a high performance communications interconnect. Each node in a distributed memory parallel computer minimally includes one or more processors, memory, and some number

Node Work Area	Pixel
----------------	-------

			1				
			2				
			3				
			4				
			1				
			2				
			3				
			4				
			1				
			2				
			3				

Figure 8. Scanline Cyclic Ray Tracing Decomposition

CPU Numbers

1, 2, 3, 4



Figure 9. Pixel Cyclic Ray Tracing Decomposition

of high-speed, low-latency network interconnect ports. Distributed memory parallel computers have been built with many different network topologies including hypercubes, torii, rings, trees, and multilevel switches. Distributed memory parallel computers can support a high degree of scalability by scaling the interconnect as the number of processors is increased. Scaling the interconnect and processors together avoids creating bottlenecks in large systems. Recent distributed memory parallel computers have been built with as many as 9,216 processors in a single system [24].

At a simple level, a distributed memory parallel program can be thought of as a group of communicating sequential processes. Each process has its own address space, its own program counter, and a unique identity. Processes communicate with each other through message passing operations, utilizing unique ID numbers to coordinate messages. Typically, all of the processors in the group run the same program. The behavior of each process is derived from its unique ID number. Sophisticated communication operations can be built on top of basic point-to-point send and receive operations.

A significant problem encountered in the early years of parallel computing was writing portable programs that would run on the wide range of parallel computing hardware available. Some of the portability issues were due to the significant differences in early parallel computer architecture, the remaining issues were due to the incompatible programming interfaces on parallel computers. Portability has become an increasingly important issue since parallel computers constitute a large percentage of the *Dead Computers Society* [30]. Two separate projects have addressed many of the portability problems encountered in writing programs for distributed memory parallel computers. The Message Passing Interface (MPI) [16] [6] [42], and Parallel Virtual Machines (PVM) [8], are both portable parallel programming libraries for distributed memory parallel programming.

1. <u>MPI</u>. The first parallel implementation of the ray tracing software in this thesis was written on the Intel iPSC/860 using its native message passing system, NX. NX is Intel's proprietary message passing system for its iPSC, Touchstone Delta, and

Paragon series massively parallel supercomputers. After several months of work on the iPSC/860, it became clear that in order to be useful to others, the ray tracing software needed to be more portable. The core ray tracing software was already portable, so the only remaining concern was to add support for the myriad message passing systems, or to choose one of the new portable message passing systems. At that point in time, MPI was rapidly gaining acceptance, although PVM had been available longer. MPI more closely resembled the message passing syntax and semantics employed by NX, so it was the best choice at the time.

Time trials showed very little degradation in performance when using MPI instead of the iPSC/860's native NX library. From that point on [45], MPI was used instead of the native NX library [46] [47]. MPI supports a tremendous variety of distributed memory parallel computers, as well as some implementations optimized for shared memory machines. MPI is a standard specification, and there have been many different implementations by commercial vendors and researchers. MPI has excellent facilities for creation of parallel libraries [43], something that other message passing systems currently lack.

2. <u>Distributed Memory Adaptation of Ray Tracing Algorithms</u>. Most ray tracing algorithms are trivially adaptable to the distributed memory paradigm, given that some basic requirements are met. The simplest distributed memory implementation involves duplicating all data structures on each node in the system, and using a static decomposition for load balancing. In this scenario, the scene database, and all related rendering information are entirely replicated on each node. This approach wastes memory, since all data is duplicated. Some advantages associated with this approach are its ease of implementation, and its generality. Since each node contains a local copy of the entire scene database, message passing operations are only needed for file I/O and process synchronization. This replication approach also avoids numerous run-time deadlock issues which need to be handled in more advanced distributed memory ray tracing schemes. The only drawback to the fully replicated approach is the greatly increased memory requirements.

A more sophisticated approach to distributed memory ray tracing uses partial replication strategies in order to use memory more efficiently. In the partial replication strategy, each node replicates global scene parameters, lights and spatial subdivision hierarchies. The scene database is distributed among the nodes, so that each node receives an equal amount of geometry. The axis aligned bounding boxes for distributed objects are communicated to all nodes so that they may be used in local intersection tests. If a bounding box for remote objects is intersected locally, the local node may generate a request to the remote node to perform remaining intersection and shading calculations for the objects contained in the bounding box. Since ray tracing is a recursive process, the request may generate further requests in an unpredictable manner. This pattern may generate a tremendous amount of communication traffic, hindering performance. An improved solution to these problems would be to cache remote objects locally, up to the limits of available memory in order to reduce communication traffic. In addition to the generation of large amounts of rendering messages, it can be difficult to determine with absolute certainty when all nodes have completed rendering. In a situation where all nodes have completed rendering their own pixels, it is still possible that new work will be generated by on-the-fly requests from other nodes. Other complexities involved in the use of a distributed object database involve the handling of textures. Complex textures may require additional memory and may need to be replicated for high-performance. In a hybrid distributed memory and shared memory implementation, the difficulties in maintaining shared resources further complicate the distributed object database approach.

For most cases, the advantages of entirely replicating the scene database outweigh the disadvantages. The object database distribution approach is specific to distributed memory implementations, and is not useful in shared memory environments. In situations where memory use is constrained or scenes are extremely large, the use of dynamic geometry generation and object instancing can greatly improve memory efficiency. These techniques are also applicable to multithreaded implementations.

3. <u>Concurrent I/O</u>. Concurrent I/O is a feature available on some parallel computer systems which enables many nodes to perform file operations on the same file or files concurrently. The concurrent I/O facilities provide methods for several nodes to read, write, or modify file contents at different offsets without file corruption which would occur on systems without this feature. In order to save a ray traced image to an output file, all of the pixels in the image must either be gathered together into a single node, or file I/O operations must take place concurrently with each node writing out its own results. Concurrent I/O is an area of parallel computing that has

received less attention than other issues because many parallel applications are able to get by without it. Although MPI provides communications services on distributed memory parallel computers, it does not include concurrent I/O at this time.

When using a large number of nodes, or when ray tracing a sufficiently simple scene, the parallel ray tracing process tends to become I/O bound. In these cases, I/O operations and related latency constitute a large percentage of overall execution time. Experiments on a 96 node (192 processor) Intel Paragon showed that for configurations of more than 64 nodes, time spent doing I/O starts to become a significant fraction of the overall execution time. The test scene used for these experiments was the balls scene from Eric Haines' SPD test database [18]. Through the use of concurrent I/O, nodes are able to independently write their individual results to the output file, thereby reducing bottlenecks associated with I/O aggregation on a single node. Alternatives to concurrent I/O that might provide similar levels of performance involve designating one or several nodes for I/O aggregation in a hierarchical fashion. These techniques have been used successfully in other I/O intensive parallel programs [38] [31].

4. <u>Distributed Memory Scalability Results</u>. Eric Haines' Standard Procedural Database (SPD) [18] ray tracing benchmark was used to measure execution time on several distributed memory systems. Each of test experiments was run at an output resolution of 512 by 512 on the balls scene containing 7381 spheres. Figure 10 plots the execution time versus the number of distributed memory nodes. Figure 11 shows the efficiency of the ray tracer versus the number of distributed memory nodes.



Figure 10. Plot of Execution Time for the SPD Balls Scene



Figure 11. Plot of Efficiency for the SPD Balls Scene

B. <u>MULTITHREADED RAY TRACING</u>

Multiprocessor computers utilizing shared memory have become increasingly popular in recent years. As shared memory multiprocessors have developed, so have the methods for programming them. One of programming paradigms which has come into widespread use is known as multithreaded programming. A thread is a context of execution within a process. A traditional process only has a single thread of execution. many modern operating systems have the capability of supporting multiple threads of execution within a process. The threads within a process can share resources owned by that process such as memory and file descriptors. A uniprocessor computer executes threads within a process using a time sharing scheduling system similar to the ones used for scheduling execution of entire processes. A multiprocessor can execute several threads concurrently. Multithreaded programming can improve execution speed of some applications even when running on a uniprocessor system by performing useful work while other threads are blocked in operating system calls doing I/O or similar tasks. The challenge offered by multithreaded programming is in controlling the use of resources which are shared by multiple concurrently executing threads. Sharing of read-only data is accomplished trivially, since no modifications are being made to the data, it is safe for all threads to access data structures concurrently. Sharing of writable data must be controlled through the use of mutual exclusion locks and condition variables.

In order to write multithreaded programs, a target system must provide operating system services and libraries for the creation and management of threads and their resources. Thread interfaces in widespread use today include POSIX threads [29] [34] [42], and Unix International threads [48]. There is no universal multithreaded programming standard, but many thread libraries provide similar or identical functionality. The most basic services required for implementation of multithreaded programs are thread creation, thread cancellation, mutual exclusion locks, and condition variables. A machine independent threading interface can be constructed by abstracting implementation specific details within a higher level threading module. This technique allows a multithreaded program to be ported to a new system by writing the system specific code which implements the higher level module. Application code uses the high level threading module exclusively, and does not use system-specific thread interfaces.

Many of the standard ray tracing algorithms may be successfully implemented in a multithreaded environment if care is given to their design. The design decisions involved in adapting standard ray tracing algorithms for multithreading center on management of resources which are shared by multiple threads. In a ray tracer, shared resources typically include the object database, texture structures, images used by texturing procedures, volumetric data, run-time parameters and settings, and output image storage. Depending on how these shared resources are used during rendering, they may present problems in a multithreaded environment. Careful design decisions may avoid many of these potential problems.

Data structures which may present problems in a multithreaded ray tracer are those which are modified during rendering. Structures which are fully constructed prior to creation of child threads are ideal. Once multithreading begins it is advantageous for structures to be treated as read-only data. Structures which are considered read-only do not need to be protected with mutual exclusion locks or other access synchronization primitives. Modifiable structures need delicate handling, requiring access synchronization in order to prevent data corruption.

Every time a modifiable structure is accessed, a mutual exclusion lock must be granted before a thread may read or modify the structure. Without access synchronization, one thread may modify data while another thread is reading the same data. Modern shared memory multiprocessors do not guarantee that memory accesses are atomic. An example of this would be two threads accessing a double precision floating point variable concurrently. If one thread is reading and the other thread is writing, it is possible for the reader thread to end up with some bytes from the original value in the variable and some bytes from the value that is being written concurrently. The resulting value read is then corrupted, and both threads are completely unaware of the data corruption. Figure 12 illustrates memory corruption due to unsynchronized memory access. Synchronization primitives provide a mechanism for ordering operations so that structures are modified in a coherent manner. Through the use of synchronization primitives a program can maintain assertions and invariants that guarantee proper execution.

Although synchronization primitives provide the means for safe multithreaded execution, they must be used judiciously in order to maintain high levels of concurrency, which are required for achieving peak parallel performance. When access



Figure 12. Data Corruption in Unsynchronized Concurrent Memory Access synchronization primitives are used on frequently referenced data structures, they become a performance bottleneck, severely limiting the concurrent execution of program code. A worst case example of this is a single mutual exclusion lock placed on the entire scene database, a circumstance where all concurrency has been eliminated. No matter how many threads are active, only one thread would be able to access the data at time, limiting concurrency to a single thread. In real-world implementations, thread synchronization imposes a small amount of overhead each time a synchronization operation is performed. In the worst-case example given above, the multithreaded code would execute significantly slower than non-threaded code even on a multiprocessor. Figure 13 illustrates the differences in granularity of mutual exclusion locks used to protect access to data structures. In order to provide high concurrency and thereby achieve high performance, synchronization primitives must be used on the smallest objects possible. By using fine-grained mutual exclusion locking, more threads may be active simultaneously.



Figure 13. Multithreaded Locking Strategies

1. <u>Multithreaded Adaptation of Ray Tracing Algorithms</u>. Adaptation of sequential ray tracing techniques for multithreading can be a complicated process depending on the point in development at which multithreading issues are first considered. It is significantly easier to develop multithreaded software from the outset than to add threading to existing software. The primary area of concern in a multithreaded ray tracing system is the organization and use of shared data structures. Where possible, shared structures should be treated as read-only objects at rendering time, allowing all threads to access objects concurrently without the need for mutual exclusion locks or other access synchronization controls. Dynamic data structures which must be modified during rendering may be handled in one of two ways. Structures of large size may be shared through the use of mutual exclusion locks or similar access synchronization techniques. Objects which are small or are only meaningful in the context of one thread of execution may be implemented in a thread-specific memory area. Some examples of ray tracing algorithms which depend on dynamic structures are shadow caches, object mailboxes used by SEADS and other spatial subdivision schemes, and dynamic geometry creation or refinement algorithms. Since ray tracing is best parallelized by distributing rays to worker threads, it is convenient for ray structures to contain pointers to all thread-specific resources. Rays cast as children of parent rays may inherit the thread-specific properties of their parent ray at the time of their creation. This approach offers a great deal of flexibility in implementation, and requires no built-in capability for thread-specific data handling in the operating system supplied thread library.

2. <u>Asynchronous I/O</u>. Multithreading can help improve scalability by improving the overlap of computation and I/O operations. In experiments conducted on an Intel Paragon XPS/15, the use of threads for making I/O operations asynchronous improved parallel efficiency by as much as 10 percent on "average" test cases. Table I and figure 14 illustrate the increase in overall efficiency achieved through the use of asynchronous I/O. The test scene used for these experiments was the balls scene from Eric Haines' SPD test database [18]. Asynchronous I/O helps hide the latencies involved in initiating and completing the thousands of I/O operations when writing image blocks to disk. Although not currently implemented, asynchronous I/O operations could also be used to improve ray tracer startup time when rendering scenes with many image mapped textures, large volumetric data sets, or large numbers of object definitions stored in separate geometry databases.

Number of	Asynchronous	Synchronous
Processors	I/O Efficiency	I/O Efficiency
1	100.0	100.0
16	97.83	96.78
24	96.23	92.90
32	95.14	93.11
48	91.16	87.38
64	90.10	75.29
72	85.47	77.68
96	80.74	69.93

Table I. Efficiency of Asynchronous I/O versus Blocking I/O

3. Large Scene Scalability Results. One of the recent improvements to the ray tracing system has been the addition of a hierarchical uniform grid efficiency scheme. The multiprocessor adapted grid scheme works in the same basic manner as traditional grid based schemes. The bounds for the entire scene are calculated, and an axis aligned bounding box is calculated from these bounds. The space inside the bounding box is divided into grid cells. The number of grid divisions along each axis is based on the distribution of objects in space. The current implementation uses a simple heuristic which subdivides the axis with the greatest spread of objects higher than the others. If the objects are evenly distributed in space, then the heuristic uses the cubed root of the number of objects as the number of divisions on each axis. This yields one grid cell for each object in the scene. In special cases where the objects are planar or are extremely thin in one axis, the corresponding grid axis is not subdivided, and has only one cell along that axis. This heuristic helps to increase the number of cells along the axes which are most likely to improve rendering performance. After



Figure 14. Efficiency of Asynchronous I/O versus Synchronous I/O

the grid is generated and grid cells are allocated, objects are added to linked lists in each of the grid cells they inhabit.

Once the top level grid is constructed, each of the cells in the grid is checked to find cells which have more than a certain number of objects in them. If a grid cell contains more objects than the threshold, the grid cell is subdivided by another grid. The subgrids only encompass objects which are entirely inside the space of the grid cell. This allows subgrids to shrink substantially below the size of the enclosing parent grid cell. In order to achieve high efficiency, grids must enclose their objects as tightly as possible. The grid cell subdividing process continues recursively into smaller and smaller grids until there are no more cells in any grid which contain more objects than the subdivision threshold. As with most spatial subdivision techniques, the hierarchical grid system uses *mailbox* tags for every object in the scene to avoid repetitively testing objects that inhabit multiple grid cells. In order to make the grid system safe in a multithreaded environment, the mailbox structures are replicated for each processor, since they are modified during the course of rendering. Although replicating mailbox structures for each thread uses additional memory, it avoids the severe performance penalties which would otherwise be incurred due to hot spotting in mutual exclusion locks.

In order to test the scalability provided by the hierarchical grid scheme, a series of test scenes was rendered at varying levels of complexity. The test scenes were the SPD teapot, rendered at 512 by 512 resolution, with full shadows, reflections, and Phong highlights enabled. The scenes were generated at varying levels of complexity from a low of 250 objects to a high of 1.5 million objects. The test scenes were rendered on Sun ES3000 and Sun Ultra 2 workstations. The ES3000 was configured with 512MB of physical memory, and four 167MHz CPUs. The Ultra 2 was configured with 256MB of physical memory and two 167MHz CPUs. Test scenes were generated in increasing size up to the available physical memory on both systems. Figure 15 graphs the rendering time versus scene complexity for each of the scene sizes. As scene sizes grew larger, so did the time required to load the files from disk. Unlike the ray tracing algorithm itself, the scene parsing process that reads scene specifications from data files is a linear time algorithm. For the 1.5 million object test scene, the time to parse the scene description file was over 12 minutes. The rendering time for the scene was only 86.2 seconds. It is clear that in order to use such complex scenes for real work, a more efficient scene description language and parsing system is needed. Ideally, the use of threads would allow large scene files to be split into several smaller files, which could be parsed concurrently. The use of binary and compressed geometry formats may also improve the loading time for large scenes. On Unix systems, memory mapped files might provide the best performance of all.



Figure 15. Large Scene Scalability Results

C. <u>HYBRID THREADS AND MESSAGE PASSING</u>

As the architectural features of supercomputers have trickled down to less costly commercial hardware, the line between distributed memory and shared memory architectures has blurred. Many high performance computing facilities now employ clusters of shared memory systems to provide computational resources, rather than traditional distributed memory supercomputers. Conversely, distributed memory supercomputers have begun to employ "fat" nodes which are actually small scale shared memory multiprocessor computers. In order to take advantage of both of these situations, a ray tracer must use algorithms which parallelize in shared memory and distributed memory simultaneously. In some cases, the "fat nodes" or shared memory computers in a cluster may be able to execute multiple distributed memory processes, thereby pretending to be a wholly distributed memory system, with a consequently larger number of nodes. In other cases, the only way to access the additional processors on the fat nodes is through the use of threads.

1. <u>Paragon XPS/15</u>. During July 1995, the Oak Ridge National Laboratory Center for Computational Sciences had an Intel Paragon XP/S-15 installed for testing, with 96 MP-3 nodes. A Paragon MP-3 node contains three Intel i860 processors, two for computation and one for accelerating message passing and other operating system functions. Paragon OS includes native support for POSIX threads, as well as MPI. This machine provided an opportunity to implement combined message passing, threads, and threaded concurrent I/O techniques in the ray tracing system. This was a unique opportunity to experiment with all of these techniques enabled simultaneously. Figure 16 illustrates the ray tracing decomposition that was used for hybrid threads and message passing. This hybrid decomposition schedules work scanlinecyclic across nodes, and pixel-cyclic across the processors within each node. This decomposition provides a high level of concurrency for both threads and message passing. The main thread handles MPI message passing calls in between doing its own ray tracing calculations. The second computation thread is focused solely on performing ray tracing, and does not perform any message passing or I/O. Since MPI is not thread safe, the main thread is the only thread that can safely send and receive messages. When the main thread complete its work. Once both threads have completed ray tracing their pixels on the current scanline, the main thread spawns a new thread which performs asynchronous concurrent I/O. The I/O thread is detached from the main thread, and the main thread begins the setup for the next scanline. This process continues until the entire scene has been rendered.



Figure 16. Hybrid Ray Tracing Decomposition

2. <u>Hybrid Parallel Scalability Results</u>. Eric Haines' Standard Procedural Database (SPD) [18] ray tracing benchmark was used to measure execution time on the Paragon XP/S-15. Each of the test experiments was run at a resolution of 512 by 512 on the SPD balls scene containing 7381 spheres. The hybrid tests were conducted using an older version of the ray tracing system which used a hierarchical bounding box efficiency system. The performance results obtained using the bounding box system are lower than would be obtained with the newer hierarchical grid system, but are still representative from a parallel efficiency point of view. Since the Paragon at ORNL was only available for the summer months of 1995, tests have not been conducted using the newest version of the ray tracing system. Figure 17 plots the execution time versus the number of distributed memory nodes. Figure 18 shows the efficiency of the ray tracer versus the number of nodes. Since each node consists of two computational processors, the largest test case of 96 nodes corresponds to 192 processors working on the problem.

As increasing numbers of processors are used, disk I/O latency becomes a larger factor in the overall execution time. The use of I/O threads improved execution speed dramatically, but due to limitations in the Paragon operating system, the maximum number of concurrent I/O threads per node is limited to six. For the test cases with high numbers of processors, the ray tracing process starts to become I/O limited. Processors are able to ray trace scanlines faster than the I/O subsystem can write them to disk. This problem was more evident with the hybrid test case than with any other, since a much larger number of processors was available on the



Figure 17. Plot of Parallel Speedup for the SPD Balls Scene

Paragon XP/S-15 than on any other machine tested. Future versions of the ray tracing system will attempt to reduce I/O bottlenecks through the use of more extensive in-memory scanline buffering. Modern disk subsystems have made great progress in increasing maximum I/O bandwidth, however I/O latency has not decreased appreciably. In order to maximize performance, it may be necessary for the ray tracing system to dedicate one or more compute nodes to the purpose of gathering completed scanlines and performing I/O operations on the behalf of the rest of the system. This technique is already required on systems which lack facilities for concurrent I/O, such as workstation clusters.



Figure 18. Plot of Parallel Efficiency for the SPD Balls Scene
VII. RAY TRACING APPLICATIONS

A. <u>ARCHITECTURE</u>

Architectural rendering has become an increasingly useful tool for architecture firms. Architectural walkthroughs have proven especially useful in the design phase of large or complex architectural projects. Ray tracing can provide realistic images of interior and exterior spaces, especially when combined with radiosity techniques for computing realistic lighting. Figure 19 illustrates the use of ray tracing for rendering architectural scenes.



Figure 19. Conference Room

B. CAD/CAM

Ray tracing is a powerful technique when applied to rendering mechanical parts, especially when effects such as reflection, and refraction are important. Ray tracing implementations that include constructive solid geometry features can be particularly powerful, often having significant memory usage advantages over polygonal surface representations. Figure 20 shows a model of an "X-Wing Fighter".



Figure 20. X-Wing Model

C. MOLECULAR VISUALIZATION

Chemists and physicists researching atomic interactions and biological processes commonly use computers for running molecular dynamics simulations. The results of these simulations can be daunting to interpret without the aid of computer graphics. Ray tracing can provide superior images for these tasks due to its excellent handling of curved surfaces such as spheres. When combined with complex texturing and other features, ray tracing can be used to create meaningful images of complex atomic interactions. Figure 21 illustrates an example of ray tracing used for molecular visualization.



Figure 21. Molecular Visualization

D. <u>MEDICAL IMAGING</u>

As medical techniques have become more sophisticated, computers have seen increasing use for medical visualization, and analysis. Magnetic Resonance Imaging and Computed Tomography play an important role in neurological analyses, and especially in current research in image guided surgery, and augmented reality applications. Figure 22 shows an MRI scan of a human head, rendered using volumetric ray tracing. Ray tracing enjoys significant advantages over polygonal rendering techniques when rendering volumetric data, since vector fields may be rendered without requiring any pre-processing steps.



Figure 22. Volume Rendered MRI Scan

E. FLOW FIELD VISUALIZATION

Many scientific simulations today involve solutions of three dimensional vector fields. Vector fields typically contain factors such as density, temperature, pressure, and velocity. Computational fields can be visualized using volume rendering techniques. Ray tracing is one of several techniques that can be used to render three dimensional flow field data. Computational fluid dynamics simulations make extensive use of vector fields, and are amenable to parallel implementation [49] [50] [39]. Since parallel simulations generate huge amounts of data, traditional multi-stage data reduction techniques require massive amounts of I/O and temporary disk storage. The potential gains in overall execution speed and reduced I/O requirements make a compelling case for run-time visualization of CFD simulations [47]. Figure 23 illustrates a schematic of a simple CFD simulation of hypersonic air flow over an injector.



Figure 23. Conceptual Schematic of an Injector Flow Field

The image in Figure 24 shows a visualization of the flow field pressure after several thousand iterations of computation. The image was rendered at run-time, by using a parallel ray tracing system as an integral part of the simulation application. The pressure contours in the flow field are easily visible in Figure 24. A CFD simulation typically produces pressure, temperature, velocity, vorticity and many other indices for each grid cell. An advanced visualization application could use sophisticated coloring and texturing schemes to visualize multiple aspects of a flow field simultaneously.



Figure 24. Volume Rendered Injector Flow Field

F. STANDARD PROCEDURAL DATABASE IMAGES

Throughout the development of the ray tracing system, Eric Haines' Standard Procedural Database (SPD) test scenes were used for performance benchmarking. The SPD benchmarks provide programs which can generate arbitrarily complex scenes based on simple repeated patterns. These test scenes can be used to compare the performance of different ray tracing systems, and to help test the proper operation of experimental ray tracing software. Figure 25 is an image of the SPD teapot scene. Figure 26 is an image of the SPD balls scene, also known as the "Sphereflake" scene. The benchmark results reported in this thesis were performed using the teapot and balls databases.



Figure 25. SPD Teapot



Figure 26. SPD "Sphereflake"

VIII. CONCLUSIONS

Ray tracing is an effective technique for rendering a variety of geometry, and lends itself to complex material properties and surface texturing. The recursive nature of the ray tracing algorithm makes the algorithm both elegant and powerful. Despite its relatively high computational requirements, judicious use of ray tracing acceleration and efficiency schemes make it suitable for a wide range of uses. Parallel processing offers a tremendous improvement in ray tracing performance and scalability, allowing extraordinarily complex scenes to be rendered in a small amount of time. The performance results presented in this thesis illustrate that parallel ray tracing algorithms can be implemented on both shared memory and distributed memory parallel computer architectures with high efficiency. Many standard ray tracing acceleration and efficiency schemes can be modified to work in a parallel execution environment with minor design changes.

The design of an effective parallel ray tracing system encompasses a great many issues. Many times during the course of this research it was necessary to make compromises between portability and performance. Parallel computers differ substantially in their performance characteristics, operating systems, and libraries. Some parallel systems provide the same levels of operating system functionality normally found on Unix workstations. Other parallel systems provide very limited operating system functionality, instead concentrating on providing the highest possible performance, sacrificing features for performance. In order to develop parallel software that runs

well in a wide variety of parallel computing environments, significant effort must be spent in writing algorithms which perform well in each of these environments. For example, some systems provide concurrent I/O facilities, making it easy to write software which performs I/O from the compute nodes. Other systems provide no I/O capabilities at all to the compute nodes, or I/O capabilities which are so limited that they may not be useful for some kinds of applications. In order to provide peak performance in each environment, a parallel application must have strategies for employing advanced capabilities when available, and fall-back mechanisms when they are not. Operating system features such as memory mapped files are commonly available on desktop Unix workstations, but not necessarily so on some parallel computers. A truly portable parallel program must adapt its behavior to take advantage of the features available in any given runtime environment. After all, the whole point in writing parallel software is to achieve performance greater than would be possible in a sequential execution environment. The need for portable, adaptive parallel software places great importance on the software engineering issues involved in parallel programming.

A. <u>FUTURE RESEARCH</u>

The results presented in this thesis are very encouraging. Ray tracing can be a tremendously effective rendering technique. When combined with sophisticated efficiency schemes and parallel processing, it can be practical alternative to traditional depth buffered polygon oriented rendering techniques. Many areas of research remain for applying ray tracing techniques to new problems with different requirements. With the advent of high performance networking, modern personal computers and workstations now have the necessary requirements for coarse granularity parallel processing.

The research presented in this thesis focused on performing ray tracing on tightly coupled parallel computers using embedded communication channels and shared memory. In order to achieve high efficiency on relatively loosely coupled workstation clusters, different decomposition and load balancing techniques are needed. Issues which are pertinent to workstation clusters which have not been addressed by this research include dynamic load balancing, communications between heterogeneous processor architectures, adaptive dynamic processor allocation, and others. Other areas of particular interest today center on using large clusters of workstations or supercomputers for rendering animations of the length required for feature films. These clusters, commonly known as *renderfarms*, bring many new complications to light.

The performance tests run on large scale parallel computers indicate that the ray tracing system scales very well up to the point of saturating the available I/O capabilities of a given system. Future modifications to the ray tracing system will attempt to significantly improve I/O performance by using more extensive in-memory scanline buffering, improved threading models for asynchronous I/O, and collective scanline gathering operations. Along similar lines, I/O performance and parsing speed is crucial to the setup time involved in rendering high complexity scenes containing millions of objects. Binary and compressed geometry file formats will significantly reduce the size of scene databases, thus reducing I/O requirements at run time. Further speed increases may be possible through the use of memory mapped files on systems that support it. Memory mapped file optimizations have been used in database applications to improve random access performance in large files. These techniques could be used to improve image I/O performance for texture map images, volumetric data sets, and output files. Memory mapped I/O could also be used for explicit memory paging on extremely large scenes, potentially outperforming the the operating system's standard virtual memory paging behavior.

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